Amortized Time

EECS 214, Fall 2018
Last time

We never said how much a single union or find operation costs

Instead, we said that $m$ operations on $n$ objects is $\mathcal{O}((m + n) \log^* n)$
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Instead, we said that $m$ operations on $n$ objects is $O((m + n) \log^* n)$

This is because some long-running operations do maintenance that make other operations faster
Example: dynamic array
Dynamic Array ADT

Looks like: [3, 8, 2, 90, 5]

Signature:

```python
interface DYN_ARRAY[T]:
    def len(self) -> nat?
    def get(self, index: nat?) -> T
    def set(self, index: nat?, element: T) -> VoidC
    def push(self, element: T) -> VoidC
    def pop(self) -> T
```

Laws:

- \( \{a = [v_0, \ldots, v_k]\} \ a.\text{len}() = k + 1 \)
- \( \{a = [v_0, \ldots, v_k]\} \ a.\text{get}(i) = v_i \)
- \( \{a = [v_0, \ldots, v_k]\} \ a.\text{set}(i, v) \{a = [v_0, \ldots, v_i - 1, v, v_{i+1}, \ldots, v_k]\} \)
- \( \{a = [v_0, \ldots, v_k]\} \ a.\text{push}(v) \{a = [v_0, \ldots, v_k, v]\} \)
- \( \{a = [v_0, \ldots, v_k]\} \ a.\text{pop}() = v_k \{a = [v_0, \ldots, v_{k-1}]\} \)
A naïve representation (1/2)

class DynArray[T] (DYN_ARRAY):
    let data: VecC[T]

    def __init__(self):
        self.data = []

    def len(self):
        self.data.len()

    def get(self, index):
        self.data[index]

    def set(self, index, element):
        self.data[index] = element

    ...

class DynArray[T] (DYN_ARRAY):

    ...

    def push(self, element):
        def each(i):
            if i < self.len():
                self.data[i]
            else:
                element
        self.data = [ each(i) for i in self.len() + 1 ]

    def pop(self):
        let new_len = self.len() - 1
        let result = self.data[new_len]
        self.data = [ self.data[i] for i in new_len ]
        result
Naïve representation complexities

- \textit{get/set/size} are $O(1)$
- \textit{push/pop} are $O(n)!$
Naïve representation complexities

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How long does it take to build an $n$–element array by pushes?
Naïve representation complexities

- `get/set/size` are $O(1)$
- `push/pop` are $O(n)$!

How long does it take to build an $n$–element array by `pushes`?

$$\sum_{i=1}^{n} O(i) = O(n^2)$$
A better idea: leave extra space in the array.
This is a *dynamic array*

It’s called:

- `std::vector` in C++
- `ArrayList` in Java
- `list` in Python
class DynArray[T] (DYN_ARRAY):
    let data: VecC[OrC(T, False)]
    let size: nat?

    def __init__(self, initial_capacity: nat?):
        self.data = [False; initial_capacity]
        self.size = 0

    def len(self):
        self.size

    def capacity() -> nat?:
        self.data.len()
class DynArray[T] (DYN_ARRAY):
    ...

def get(self, index):
    self._bounds_check(index)
    self.data[index]

def set(self, index, element):
    self._bounds_check(index)
    self.data[index] = element
Implementation (2/4)

class DynArray[T] (DYN_ARRAY):
    ...

def get(self, index):
    self._bounds_check(index)
    self.data[index]

def set(self, index, element):
    self._bounds_check(index)
    self.data[index] = element

def _bounds_check(self, index):
    if index >= self.size:
        error('DynArray: out of bounds')

    ...


class DynArray[T] (DYN_ARRAY):
    ...

    def pop(self):
        self.size = self.size - 1
        let result = self.data[self.size]
        self.data[self.size] = False
        result

    ...

    ...
Implementation (4/4)

class DynArray[T] (DYN_ARRAY):
    ...

def push(self, element):
    self._ensure_capacity(self.size + 1)
    self.data[self.size] = element
    self.size = self.size + 1
class DynArray[T] (DYN_ARRAY):
...

def push(self, element):
    self._ensure_capacity(self.size + 1)
    self.data[self.size] = element
    self.size = self.size + 1

def _ensure_capacity(self, cap):
    if self.capacity() < cap:
        cap = max(cap, 2 * self.capacity())
        let new_data = [ False; cap ]
        for i, v in self.data:
            new_data[i] = v
        self.data = new_data
...

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Time complexities

- $get/set/size$ are $O(1)$
- $pop$ is $O(1)$
- $push$ is $O(n)$ still

How long does it take to build an $n$–element array by push:
$$n \sum_{i=0}^{n} O(i) = O(n^2)$$
Time complexities

- get/set/size are $O(1)$
- pop is $O(1)$
- push is $O(n)$ still

How long does it take to build an $n$–element array by pushes?
Time complexities

- *get/set/size* are $\mathcal{O}(1)$
- *pop* is $\mathcal{O}(1)$
- *push* is $\mathcal{O}(n)$ still

How long does it take to build an $n$–element array by *pushes*?

$$\sum_{i=0}^{n} \mathcal{O}(i) = \mathcal{O}(n^2)?$$
The peculiar thing about *push*

- Most of the time it’s cheap
- Only occasionally do we need to grow (which is expensive):
Cumulative time
Cumulative time

It’s linear!
Dynamic array aggregate analysis

Suppose we create a new array and push $n$ times. How can we show linear time?
Dynamic array aggregate analysis

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Let $c_i$ be the cost of the $i$th insertion:

$$c_i = \begin{cases} 
  i & \text{if } i - 1 \text{ is a power of 2} \\
  1 & \text{otherwise}
\end{cases}$$
Dynamic array aggregate analysis

Suppose we create a new array and push \( n \) times. How can we show linear time?

Let \( c_i \) be the cost of the \( i \)th insertion:

\[
c_i = \begin{cases} 
i & \text{if } i - 1 \text{ is a power of 2} \\
1 & \text{otherwise}
\end{cases}
\]

\[
\begin{array}{ccccccccccc}
i & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\
s_i & 1 & 2 & 4 & 4 & 8 & 8 & 8 & 8 & 16 & 16 \\
c_i & 1 & 2 & 3 & 1 & 5 & 1 & 1 & 1 & 1 & 9 & 1 \\
\end{array}
\]
Adding it up

Let $d_i = c_i - 1$ (the doubling cost)
Adding it up

Let $d_i = c_i - 1$ (the doubling cost)

Then,

$$
\sum_{i=1}^{n} c_i = \sum_{i=1}^{n} (1 + d_i)
$$

$$
= n + \sum_{i=1}^{n} d_i
$$

$$
= n + \sum_{i=0}^{\log_2 n} 2^i
$$

$$
= n + (n + \frac{n}{2} + \frac{n}{4} + \cdots)
$$

$$\leq 3n$$
Example: banker’s queue (FIFO)
class BankersQueue[T] (QUEUE):
    let front
    let back
    # Interpretation: the queue is the elements of
    # `front` in pop order followed by `back` in reverse

    def __init__(self, Stack: FunC[STACK!]):
        self.front = Stack()
        self.back = Stack()

    def len(self):
        self.front.len() + self.back.len()

    def empty?(self):
        self.front.empty?() and self.back.empty?()
class BankersQueue[T] (QUEUE):
    
    def enqueue(self, element):
        self.back.push(element)

    def dequeue(self):
        if self.front.empty():
            if self.back.empty():
                error('BankersQueue.dequeue: empty')
            while not self.back.empty():
                self.front.push(self.back.pop())
            self.front.pop()
class BankersQueue[T] (QUEUE):
    ...

    def enqueue(self, element):
        self.back.push(element)

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        if self.front.empty():
            if self.back.empty():
                error('BankersQueue.dequeue: empty')
            while not self.back.empty():
                self.front.push(self.back.pop())
        self.front.pop()
Banker’s queue analysis (physicist style)

We assign a “potential” to each data structure state:

$$\Phi(q) = q\cdot \text{back}\cdot \text{len}()$$

Note that the potential of a new queue is 0, and the potential is never negative
Banker’s queue analysis (physicist style)

We assign a “potential” to each data structure state:

\[ \Phi(q) = q.\text{back}.\text{len}() \]

Note that the potential of a new queue is 0, and the potential is never negative.

Then the amortized cost of an operation is

\[ c + \Phi(q') - \Phi(q) \]

where \( c \) is the actual cost, \( q \) is the state before, and \( q' \) is the state after.
Actual costs

Actual cost of enqueue operation: 1
Actual costs

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Actual cost of cheap dequeue operation (when front isn’t empty): 1
Actual costs

Actual cost of enqueue operation: 1

Actual cost of cheap dequeue operation (when front isn’t empty): 1

Actual cost of expensive dequeue operation (with reversal) is the cost of the reversal (the number of elements reversed) plus the cost of a cheap dequeue: $n + 1$
Amortized cost of enqueue

- Actual cost of enqueue is 1
- Increases the length of the back by 1, hence
  \[ \Phi(q') - \Phi(q) = 1 \]

So amortized cost is \( 1 + 1 = 2 \)
Amortized cost of cheap dequeue

• Actual cost of cheap dequeue is 1
• No change in potential

So amortized cost is 1
Let $n$ be $q\cdot \text{back}\cdot \text{len}()$, the length of the back stack. Then:

- Actual cost is $n + 1$
- $\Phi(q) = n$ (before reversal)
- $\Phi(q') = 0$ (after reversal)

So amortized cost is $n + 1 + 0 - n = 1$. 
Banker’s queue operation worst-case time complexities

<table>
<thead>
<tr>
<th>operation</th>
<th>single operation</th>
<th>amortized</th>
</tr>
</thead>
<tbody>
<tr>
<td>enqueue</td>
<td>$O(1)$</td>
<td>$O(1)$</td>
</tr>
<tr>
<td>dequeue</td>
<td>$O(n)$</td>
<td>$O(1)$</td>
</tr>
</tbody>
</table>
Next time: random binary search trees